

6073115

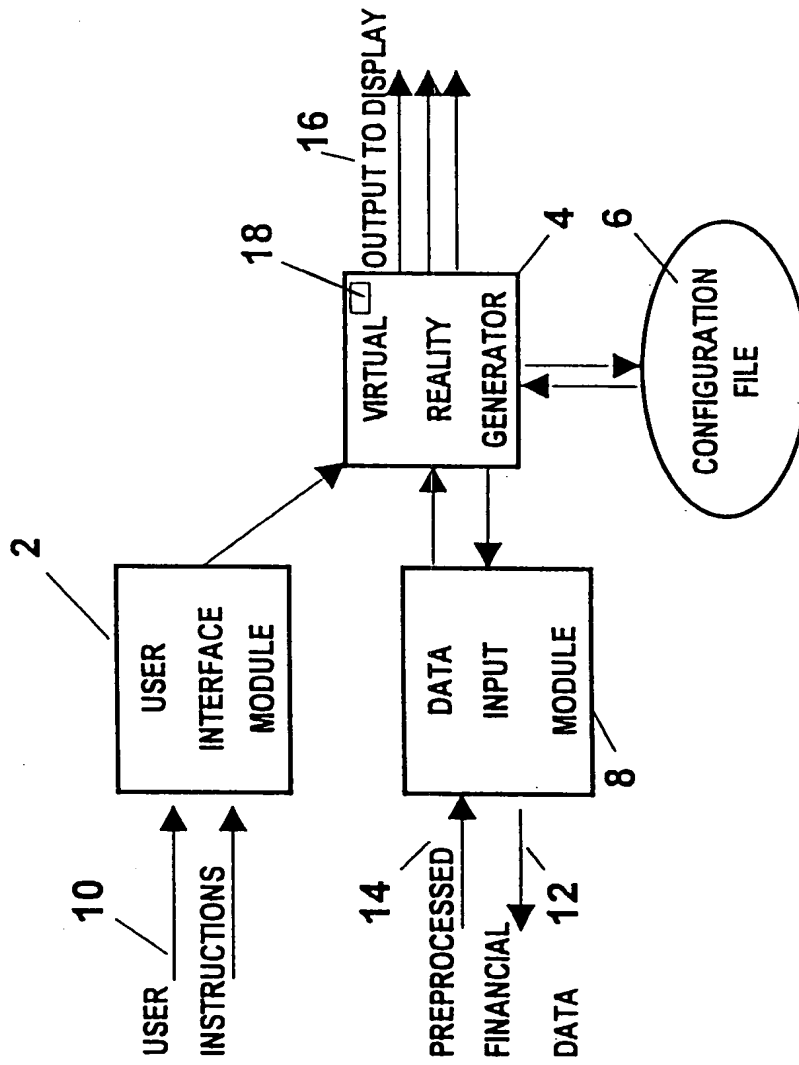


Fig. 1

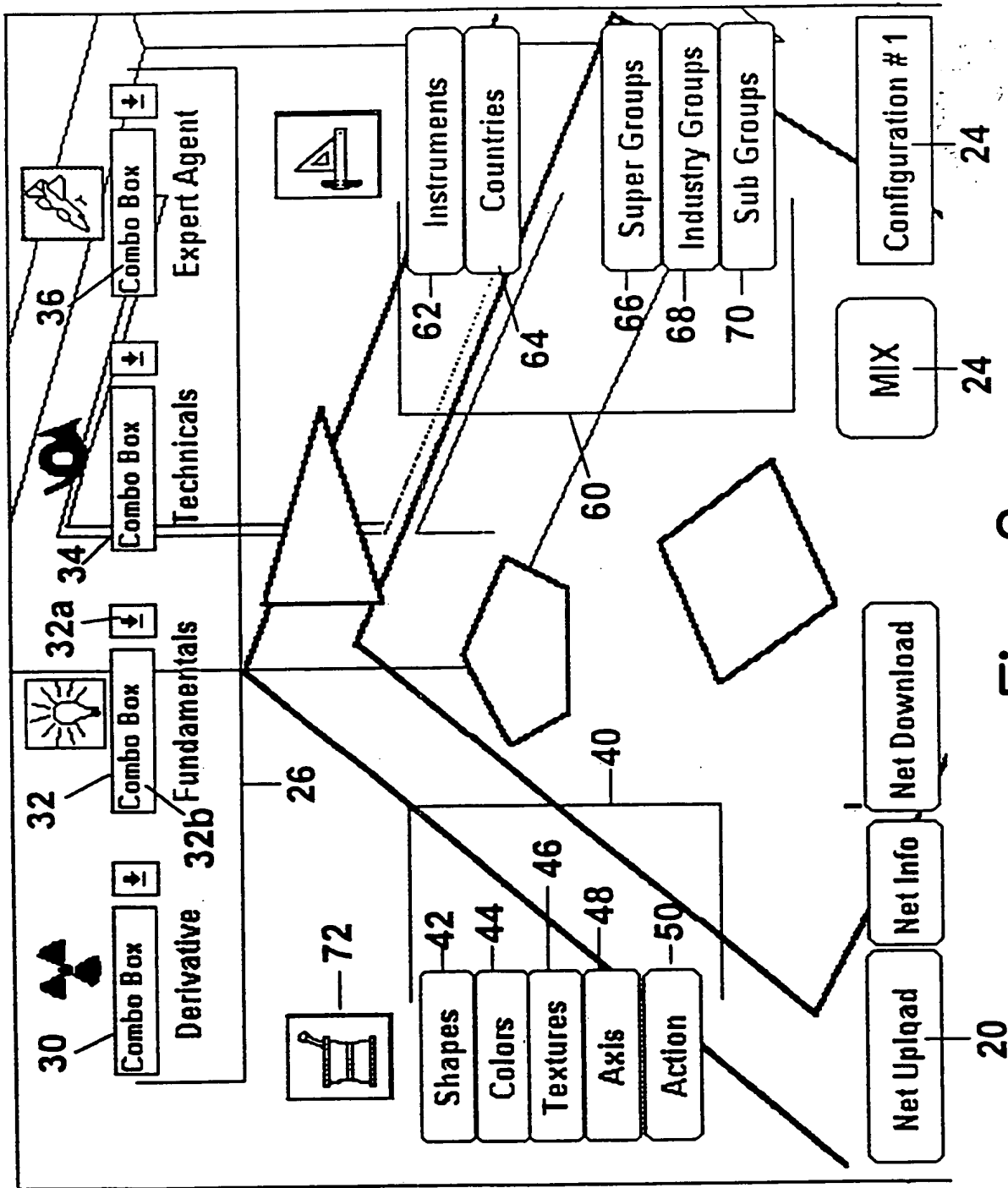


Fig. 2

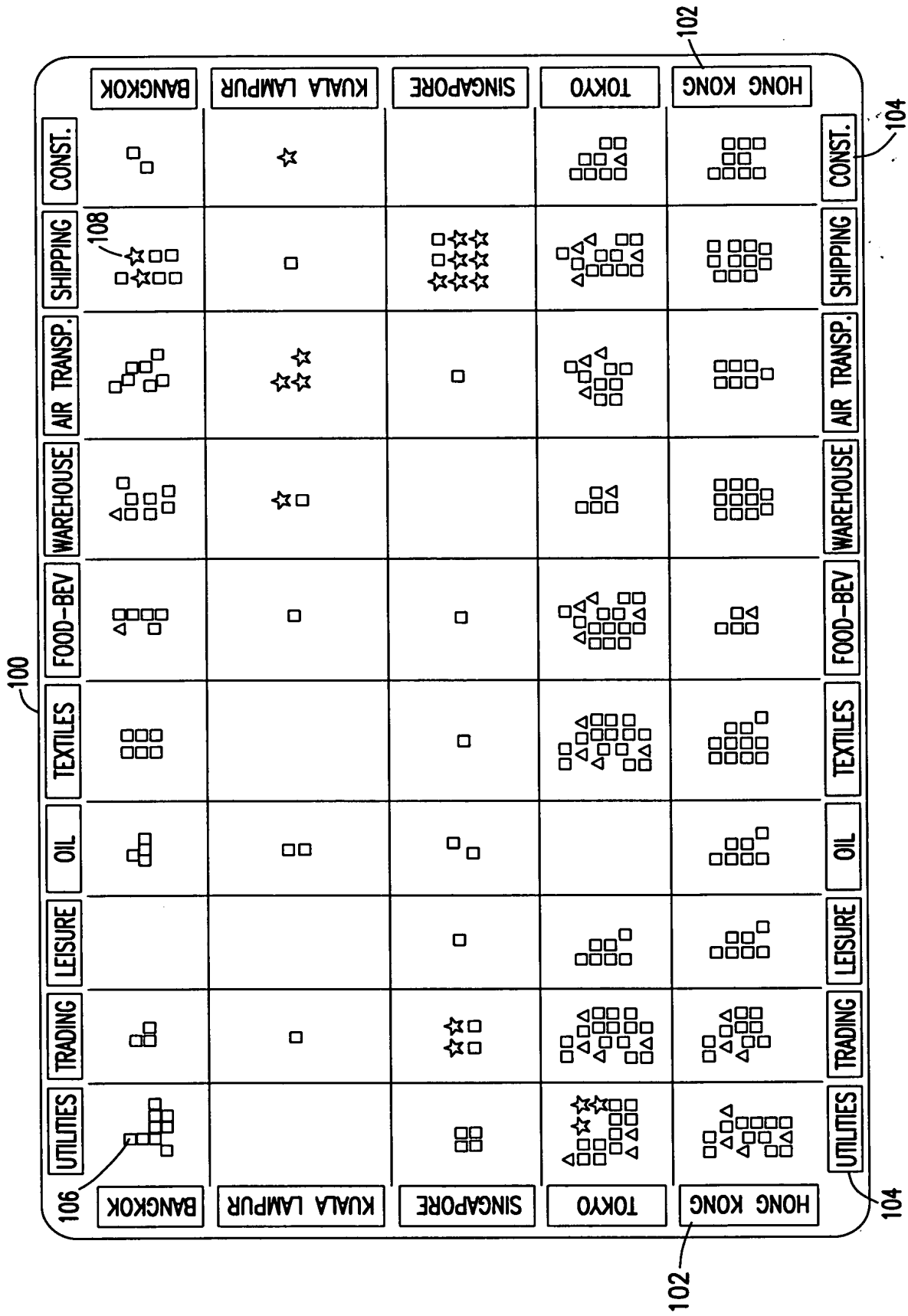


Fig. 3a

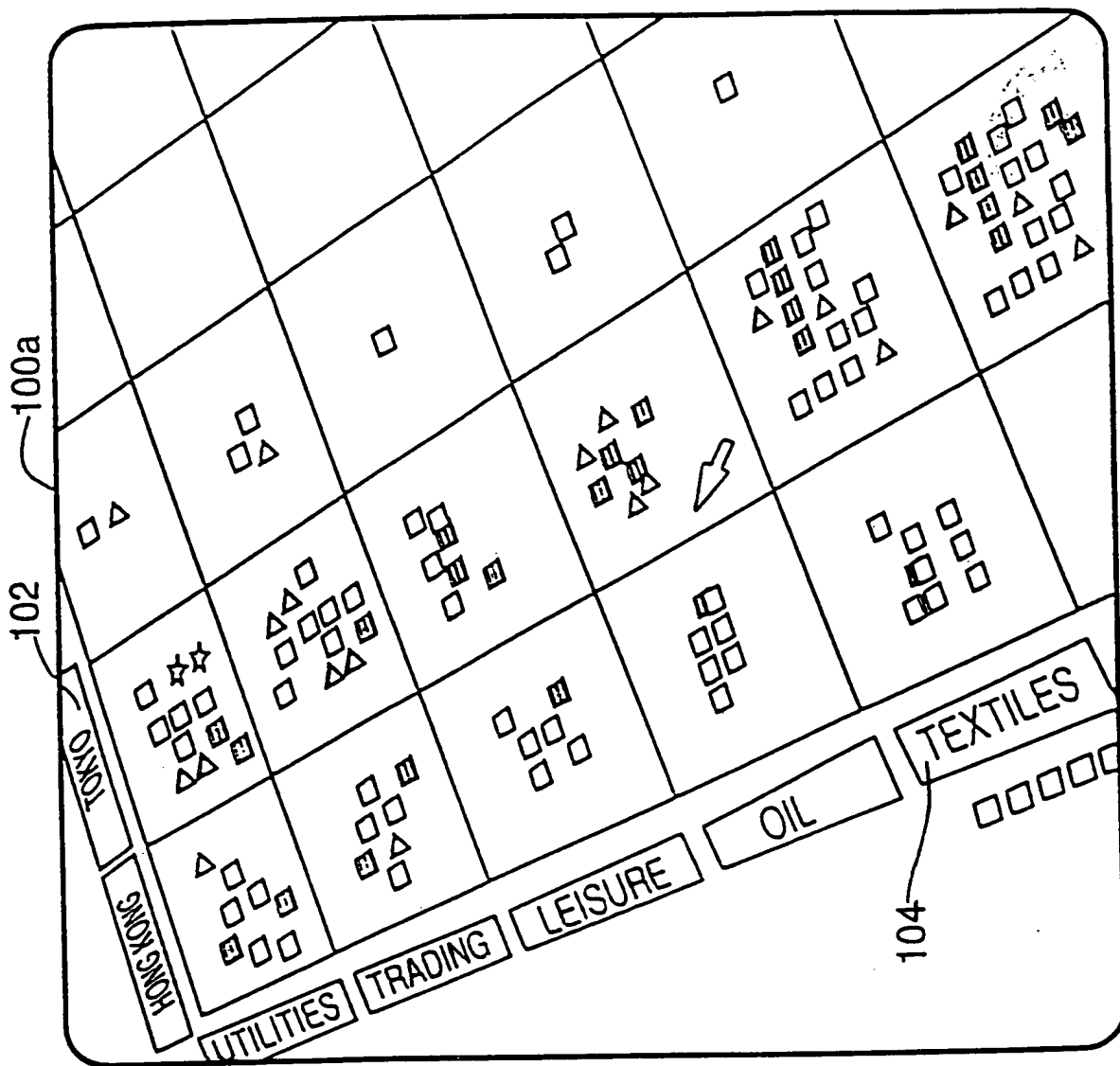


Fig. 3b

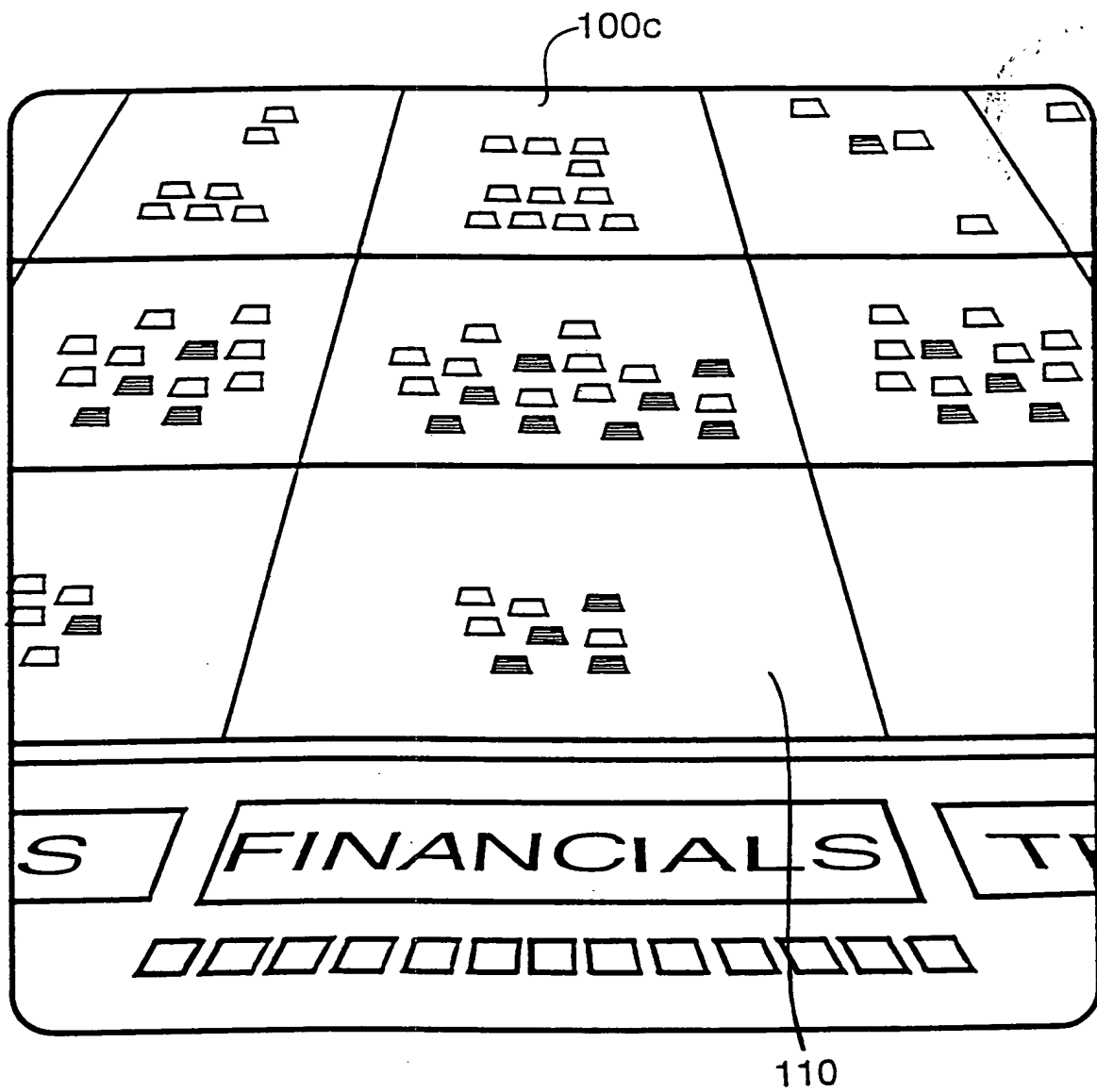


Fig. 3c

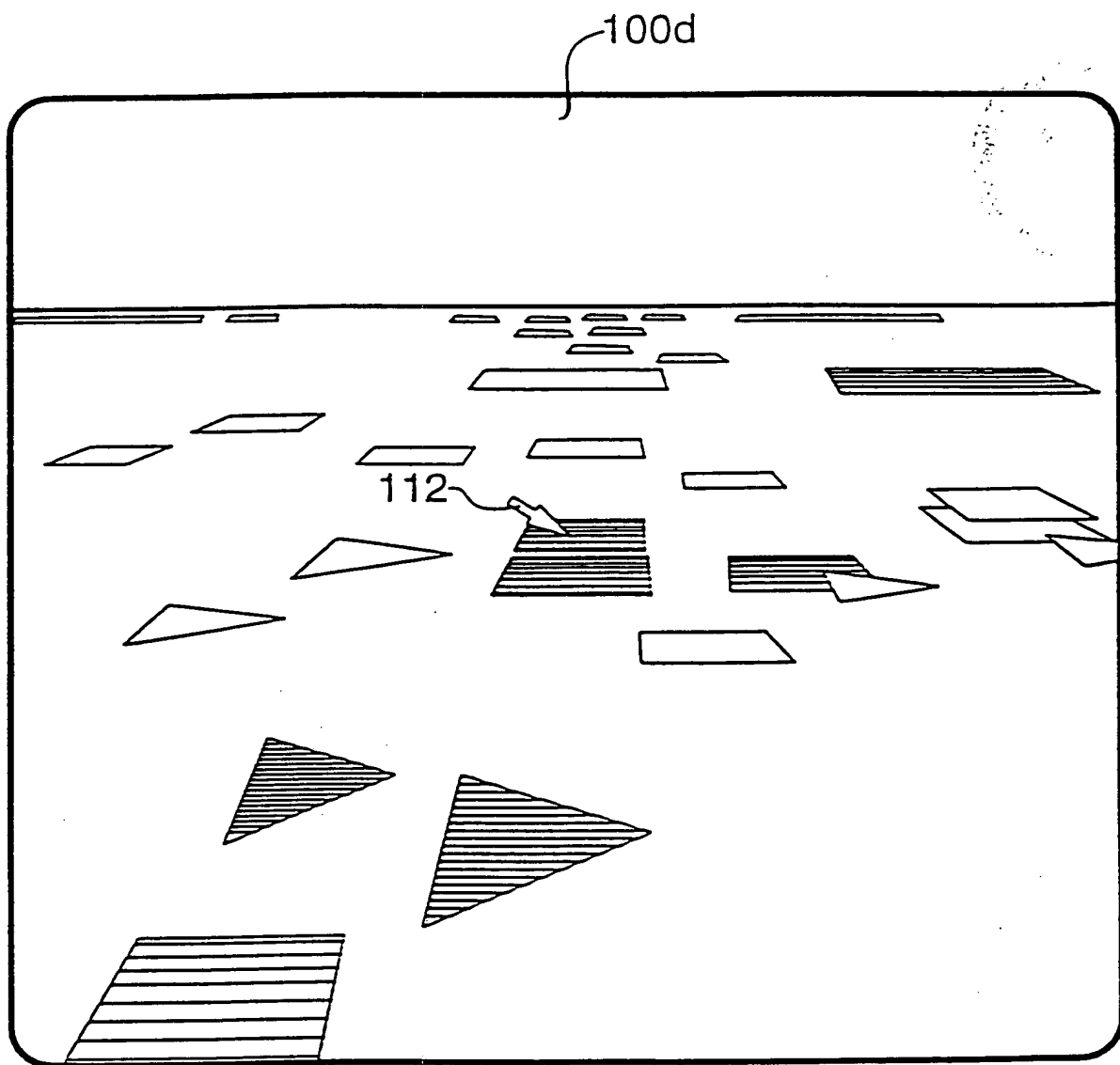


Fig. 3d

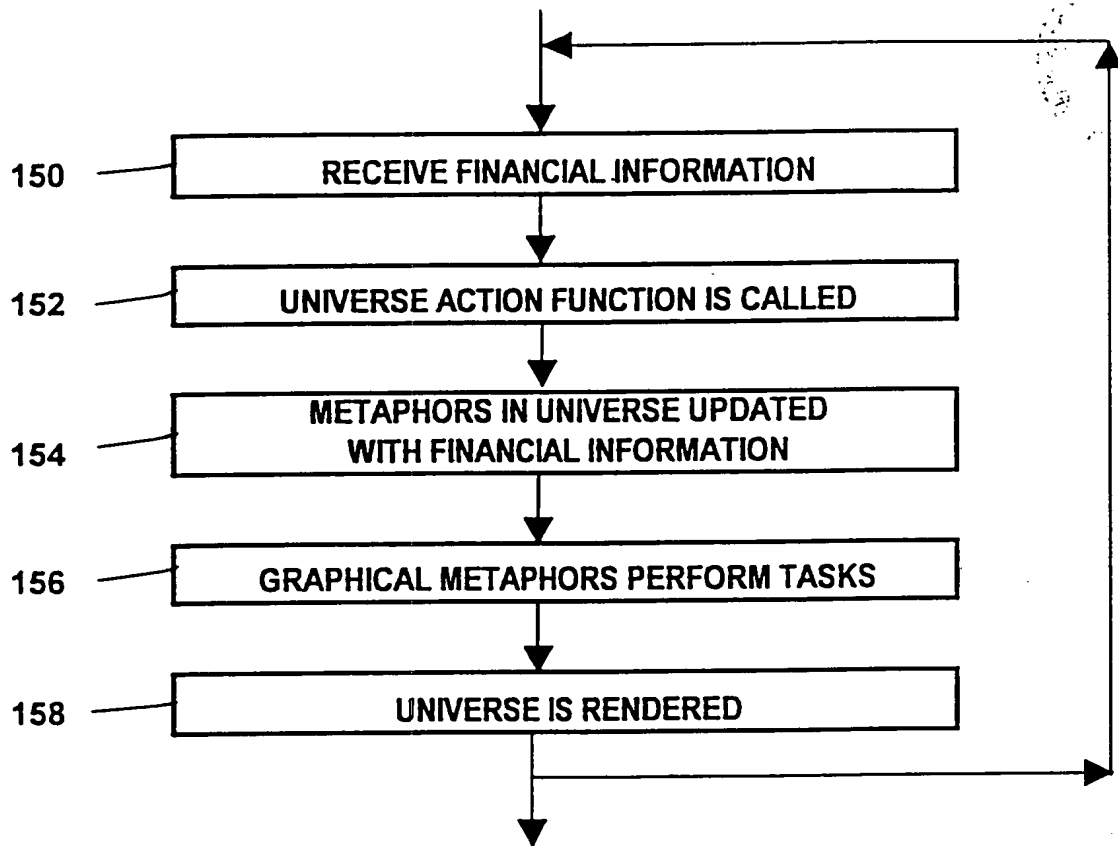


Fig. 4

FUND.PRI

| 170 --ISSUE | TYPE | DIVIDEND | BOOK EARNINGS | EST SEDOL | HOLDINGS | MARKET CAP | NAME | INDUSTRY GROUP |
|-------------|------|----------|---------------|-----------|----------|------------|------------------|-----------------------|
| .DJI | I | 0 | 0 | 0 | 0 | 0 | 1 DJ-INDUSTRIAL | 1001-DJ_INDEX |
| .DJT | I | 0 | 0 | 0 | 0 | 0 | 1 DJ-TRANSPORT | 1001-DJ_INDEX |
| .DJU | I | 0 | 0 | 0 | 0 | 0 | 1 DJ-UTILITY | 1001-DJ_INDEX |
| .SPO | I | 0 | 0 | 0 | 0 | 0 | 1 S&P-100-INDEX | 1004-SP_INDEX |
| .SPC | I | 0 | 0 | 0 | 0 | 0 | 1 S&P-500-INDEX | 1004-SP_INDEX |
| .SPT | I | 0 | 0 | 0 | 0 | 0 | 1 S&P-TRANS-IND | 1004-SP_INDEX |
| .SPU | I | 0 | 0 | 0 | 0 | 0 | 1 S&P-UTIL-INDE | 1004-SP_INDEX |
| .SPF | I | 0 | 0 | 0 | 0 | 0 | 1 S&P-FINAN-IND | 1004-SP_INDEX |
| .IPC1 | I | 0 | 0 | 0 | 0 | 0 | 1 :CRUDE-OIL-IN | 1009-CRUDE_OIL |
| .IPSI | I | 0 | 0 | 0 | 0 | 0 | 1 :DUBAI-CRUDE- | 1009-CRUDE_OIL |
| .GDAX | I | 0 | 0 | 0 | 0 | 0 | 1 :DEUTSCHE-AK | 1010-DAX_INDEX |
| .FAZI | I | 0 | 0 | 0 | 0 | 0 | 1 :FAZ-SHARE-IN | 1011-FAZ_INDEX |
| .GREX | I | 0 | 0 | 0 | 0 | 0 | 1 :REX-RENTEN-I | 1012-GREX_INDEX |
| .GREX | I | 0 | 0 | 0 | 0 | 0 | 1 :REX-RENTEN- | 1012-GREX_INDEX |
| .GREX | I | 0 | 0 | 0 | 0 | 0 | 1 5:REX-RENTEN- | 1012-GREX_INDEX |
| .GREX | I | 0 | 0 | 0 | 0 | 0 | 1 10:REX-RENT- | 1012-GREX_INDEX |
| .FCHI | I | 0 | 0 | 0 | 0 | 0 | 1 :CAC-FORTY-IN | 1013-CAC_INDEX |
| .FCGI | I | 0 | 0 | 0 | 0 | 0 | 1 :CAC-GENERAL- | 1013-CAC_INDEX |
| FDXJUN92 | F | 0 | 0 | 0 | 0 | 0 | 1 DAX-IN | 1014-DAX_INDEX_FUTURE |
| FDXU2SEP92 | F | 0 | 0 | 0 | 0 | 0 | 1 DAX-IN | 1014-DAX_INDEX_FUTURE |
| FDXZ2DEC92 | F | 0 | 0 | 0 | 0 | 0 | 1 DAX-IN | 1014-DAX_INDEX_FUTURE |
| FDXH3/MAR93 | F | 0 | 0 | 0 | 0 | 0 | 1 DAX-IN | 1014-DAX_INDEX_FUTURE |
| 7004 | S | 5 | 200 | 30 | 50 | 0 | 1 :HITACHI-SHIPB | 1201-AUTOS |
| 172 --7267 | S | 10 | 800 | 70 | 82 | 0 | 1 :HONDA-MOTOR | 1201-AUTOS |

Fig. 5a

122191.pri

| ISSUE | TYPE | LAST/BID | HIGH | LOW | VOLUME | CHG |
|--------|------|----------|----------|----------|---------|-----------|
| .TSE | I | 3434.010 | 3442.430 | 3430.230 | 0 | -0.5 |
| .TSE35 | I | 189.060 | 190.360 | 188.650 | 0 | -0.6 |
| .TSEF | I | 0.000 | 0.000 | 0.000 | 0 | |
| .TSMI | I | 0.000 | 0.000 | 0.000 | 0 | |
| 001.HK | S | 25.100 | 25.300 | 24.800 | 631600 | 0.0 |
| 002.HK | S | 32.750 | 33.250 | 32.250 | 2732679 | -1.5 |
| 003.HK | S | 13.400 | 13.500 | 13.300 | 1333000 | 0.0 |
| 004.HK | S | 16.800 | 17.000 | 16.500 | 3894652 | 0.0 |
| 005.HK | S | 54.500 | 55.000 | 52.000 | 4828985 | 3.8 |
| 006.HK | S | 18.700 | 19.000 | 18.600 | 1697496 | 0.0 |
| 007.HK | S | 14.400 | 14.500 | 14.000 | 6449600 | -0.7 |
| 008.HK | S | 8.900 | 8.950 | 8.800 | 1433139 | 0.6 |
| 009.HK | S | 2.250 | 2.300 | 2.300 | 107000 | -4.3 |
| 010.HK | S | 11.200 | 11.300 | 11.100 | 3733000 | 0.0 |
| 011.HK | S | 53.000 | 53.500 | 51.500 | 1930922 | 1.9 |
| 012.HK | S | 18.700 | 18.800 | 18.600 | 1977000 | 0.0 |
| 013.HK | S | 17.100 | 17.300 | 16.800 | 3859811 | 0.6 |
| 014.HK | S | 13.500 | 13.600 | 13.400 | 854000 | 0.0 |
| 015.HK | S | 60.000 | 60.500 | 59.500 | 1367803 | 0.0 |
| 016.HK | S | 32.000 | 32.750 | 32.000 | 6580760 | -1.5 |
| 017.HK | S | 17.300 | 17.400 | 17.000 | 5597000 | 0.0 |
| 018.HK | S | 1.590 | 1.600 | 1.580 | 2162000 | 0.6 |
| 019.HK | S | 34.500 | 34.750 | 34.000 | 3526700 | -0.7 |
| 020.HK | S | 8.100 | 8.250 | 8.050 | 2325600 | -1.2 |
| 023.HK | S | 38.000 | 38.250 | 37.500 | 3060527 | 0.0 — 174 |

Fig. 5b

Fig. 5c

| Issue | Premium | MktPr | SPIN.PRI | | | | | Gamma Theta | ImplVol | ImplDe | Last | UnderPr | Parity |
|-----------------------------|---------|-------|----------|--------|--------|---------|---------|-------------|---------|--------|--------|---------|--------|
| | | | S/E | FV | Profit | Delta | %Profit | | | | | | |
| ALVG2236U/SEP/2368 P | 36.84 | 101.9 | 563 | 1319.4 | 1 | 94145 | 7927.3 | 0 | -53.327 | 0 | 1.4 | 1910 | 1230 |
| THYH260X/DEC/260 P | 30.78 | 0.81 | 34.2 | 223.53 | 622.81 | 1.14 | -12.69 | 0 | 15.3 | 210.5 | -49.5 | | |
| ALVG2220U/MAR/2200 P | 24.93 | 0.82 | 340 | 618.18 | 1016.4 | 1.1 | -2.28 | 0 | 55 | 1805 | -395 | | |
| ALVG227U/SEP/2273 P | 28.38 | 0.79 | 423.8 | 958.82 | 8749.3 | 1.07 | -82.51 | 0 | 44.2 | 1805 | -468 | | |
| BVMG_tf/JUN3093/363 C | -5.36 | 1.1 | 96.05 | 291.05 | 326.88 | 1.06 | 8.81 | 0 | 33 | 401 | 76 | | |
| 176 - SIEG_tf/JUN1398/610 C | 17.33 | 124 | 205.1 | 0.807 | 0.0006 | -19.571 | 0 | 124 | 625.6 | 15.6 | | | |
| ALVG220X/DEC/2200 P | 24.65 | 50 | 395 | -0.885 | 0.0008 | 104.92 | 0 | 50 | 1805 | -395 | | | |
| BHFG_tf/SEP3098/506 C | 43.76 | 64.8 | 101.96 | 0.884 | 0.0019 | -23.227 | 0 | 64.8 | 397 | -108.9 | | | |
| THYH240U/MAR/240 P | 17.77 | 7.9 | 29.5 | -0.731 | 0.0138 | 10.094 | 0 | 7.9 | 210.5 | -29.5 | | | |
| GDAX180U/SEP/1800 P | 12.92 | 0.89 | 159.82 | 913.24 | 8333.3 | 0.91 | -45.49 | 0 | 17.5 | 1609.5 | -190.5 | | |
| VIAG_tf/SEP0597/114 C | 0.66 | 264.5 | 296.1 | 1 | 0 | -6.5087 | 0 | 264.5 | 376 | 262 | | | |
| DBKG750X/DEC/750 P | 27.39 | 50 | 122 | -0.955 | 0.0015 | 45.164 | 0 | 50 | 628 | -122 | | | |
| THYH240U/SEP/240 P | 16.39 | 0.88 | 24.5 | 490 | 4471.3 | 0.81 | -15.05 | 0 | 5 | 210.5 | -29.5 | | |
| BAYG_tf./AUG2897/330 C | 35.29 | 35.7 | 57.103 | 0.819 | 0.0037 | -15.779 | 0 | 35.7 | 270.3 | -59.7 | | | |

178a

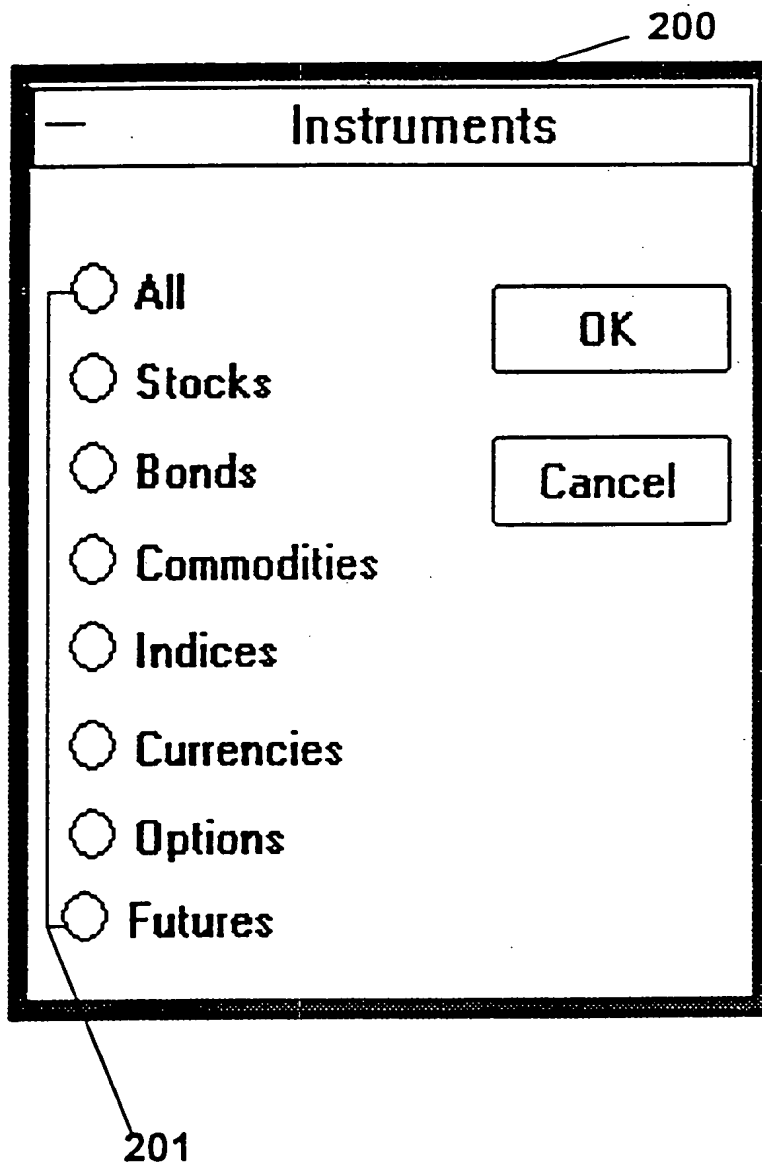


Fig. 6

210

Super Groups

Super Group

GNP Sensitive

Inflation Sensitive

Interest-Rate Sensitive

218

216

Available Industries

Autos

Chemicals

Computers

Construction

Electricals

Financials

Food & Beverage

212

214

Name:

Delete

Add

222

☐ Show Makeup of Group

Name:

Find Super Group

Delete

220

Add

Delete

Fig. 7

240

| Sub Groups | | |
|---|---|--|
| Assigned | Available | |
| <div>Autos-Light Trucks Financials-Banking Industrial-Machinery</div> | <div>Autos-Light Trucks Chemicals-Specialty Constuction-Bldg Material Electricals-Heavy Financials-Banking Industrial Machinery Leisure-Gaming Publishing-Newspapers Steel-Specialty Textiles-Apparel Mfg Transportation-Railways</div> | |
| | Select | |
| | Delete | |
| | Setup | |
| | OK | |
| | Cancel | |

Fig. 8

260

262

Industry Groups

268

Assigned

Autos
Chemicals
Financials
Industrial
Real Estate

264

Select

Delete

Setup

OK

Cancel

Configure Instruments

☒ Stocks
☐ Bonds
☐ Futures

☐ Indices
☐ Currencies

Available Industry

Autos
Chemicals
Computers
Construction
Electricals
Financials

☐ 3-D Stack By Ind. Group

270

266

Fig. 9

Figure 1 is a screenshot of the 'Action' menu in the 'Fundamental' window of the 'Fundamental' software. The menu is open, showing options: Analytic Type (with sub-options: Fundamental, Technical, Derivative, Expert Action), Action Screen (with sub-options: Top, Bottom), Formula Builders (with sub-options: Operators, Functions), and Available Actions (with sub-options: Highest RDE, Highest Yield, Lowest D/E, Lowest Industry P/B, Lowest Industry P/E). The 'Fundamental' option is selected under Analytic Type. The 'Top' option is selected under Action Screen. The 'Operators' option is selected under Formula Builders. The 'Highest RDE' option is selected under Available Actions. The 'Fundamental' window title bar is visible at the top.

Fig. 10

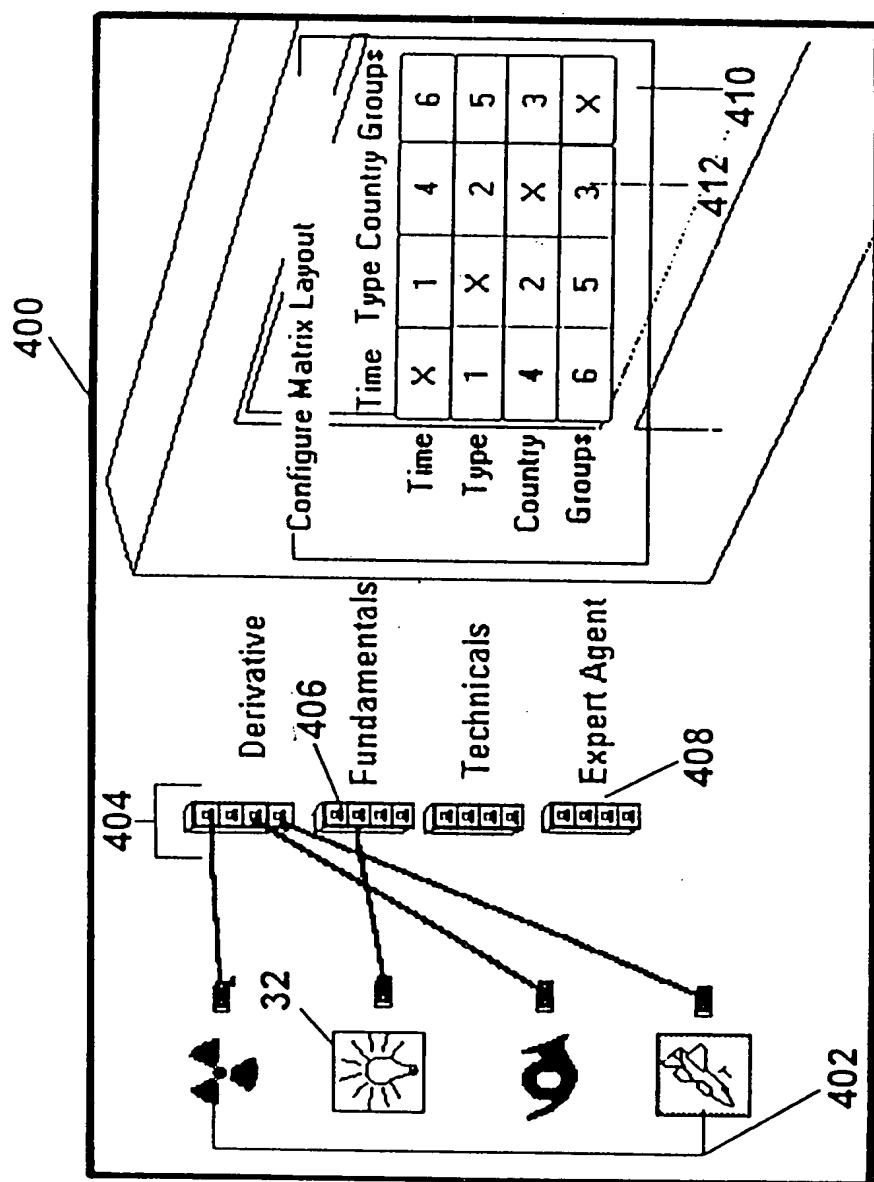


Fig. 11

100'

| SPORT 1 | SPORT 2 | SPORT 3 | SPORT 4 | SPORT 5 | SPORT 6 | SPORT 7 | SPORT 8 | SPORT 9 | SPORT 10 |
|--------------|---------|---------|---------|---------|---------|---------|---------|---------|--------------|
| BANGKOK | BANGKOK | | | | | | | 108' | BANGKOK |
| KUALA LAMPUR | | | | | | | | | KUALA LAMPUR |
| SINGAPORE | | | | | | | | | SINGAPORE |
| TOKYO | | | | | | | | | TOKYO |
| HONG KONG | | | | | | | | | HONG KONG |

102'

104'

Fig. 12

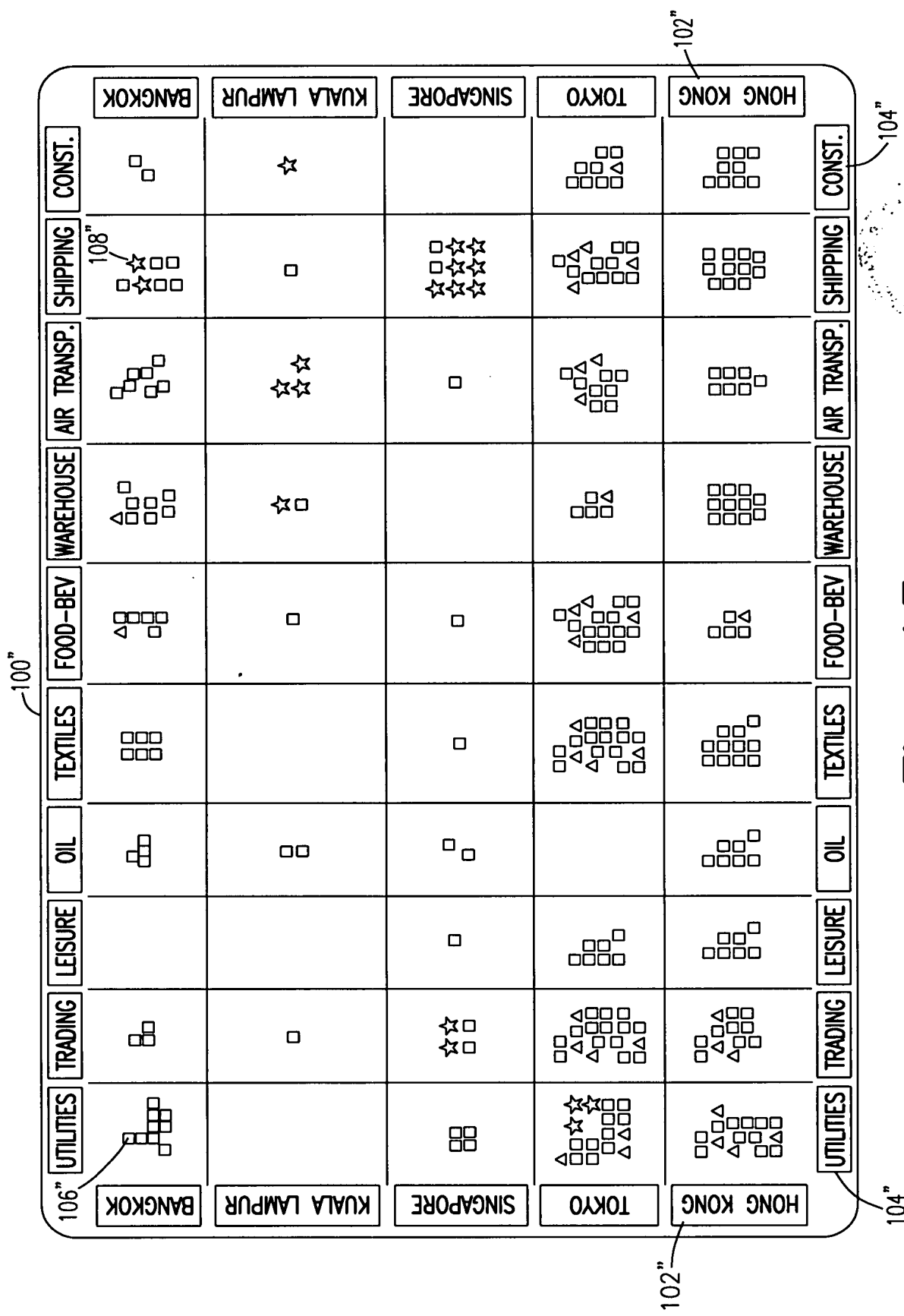


Fig. 13

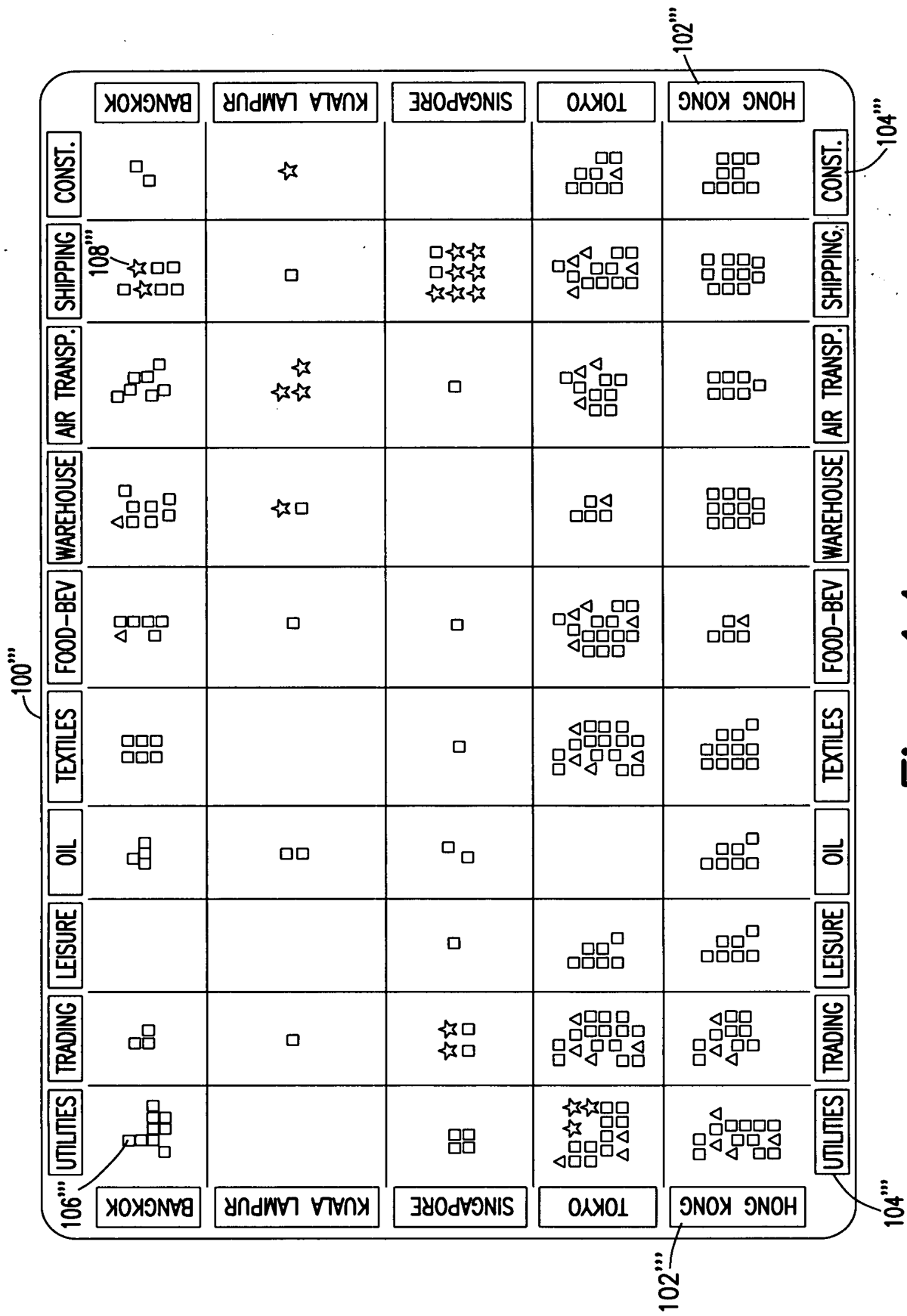


Fig. 14